

In the United States Patent and Trademark Office

Mailed: January 28, 2004 Customer No. 35693

Mail Stop Patent Applications Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please file the following enclosed patent application papers:

Name of Inventor: Carl Cetera

Title of invention: Big Clip Pen

Docket no. SS-291

- □ Fee Transmittal form including claim of Small Entity status.
- □ Credit card payment form including authorization to charge \$641 for:
 - \square \$ 385 for filing fee.
 - \square \$ 36 for extra claims fee.
 - □ \$ 180 for Information Disclosure Statement.
 - \square \$ 40 recordal fee for assignment(s).
- □ Specification, Claims, and Abstract 14 pages
- □ Drawing(s): Number of Sheets Enclosed: 2
- □ Declaration: Date Signed: May 9, 2003
- □ Power of Attorney
- □ Information Disclosure Statement, Form PTO-1449
- □ Non-publication request
- □ Assignment² enclosed with cover sheet, recordation fee, and copy of recorded assignment from parent application; please record and return.
- □ Return Receipt Postcard addressed to correspondence address below.

¹This application is a continuation of Application No. 10/435,313 and claims a priority date therefrom of May 9, 2003.

²The copy of the assignment submitted herewith is from the parent application, Application No. 10/435,313 and is intended to be used with the present application.

Very respectfully,

Mark D/Nielsen

Reg. No. 52,068

Correspondence address:

Mark D. Nielsen The Soni Law Firm 55 S. Lake Ave., Suite 720 Pasadena, CA 91101

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Mail Stop Patent Applications, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Lauren Coyle

Date of Deposit

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PTO/SB/17 (10-03) Approved for use through 07/31/2006. OMB 0651-0032

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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Name (Print/Type)

Signature

Mark D.

Nielsen

(\$)	641	00
L OD I	UT 1	.00

Complete if Known				
Application Number				
Filing Date	5/9/03 (cont. of 10/435,313)			
First Named Inventor	Carl Cetera			
Examiner Name				
Art Unit				
Attorney Docket No.	SS-29			

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)				
Check Credit card Money Other None 3. A			3. ADDITIONAL FEES			
Deposit Account:		Large Entity Small Entity				
Deposit Account	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit Account	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
Name The Director is authorized to: (check all that apply)		130	1053	130	Non-English specification	
Charge fee(s) indicated below Credit any overpayments		2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	1251	110	2251	55	Extension for reply within first month	
FEE CALCULATION	1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Paid	1254	1,480	2254		Extension for reply within fourth month	
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2,010	2255		Extension for reply within fifth month	
1002 340 2002 170 Design filing fee \$385	1401	330	2401		Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402		Filing a brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403		Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) \$385	1452	110	2452	55	Petition to revive - unavoidable	
		1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims 24 -20** = 4 x 9 = \$36	1503	640	2503	320	Plant issue fee	
Claims 2 - 3" = U X = 0	1460	130	1460	130	Petitions to the Commissioner	
1 <u>330</u>	1807	50	1807	' 50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt	\$180
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	\$40
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	examined (37 CFR 1.129(b)) Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802		Request for expedited examination of a design application	
<u> </u>		fee (sp	ecify)			
SUBTOTAL (2) (\$) \$36 **or number previously paid, if greater; For Reissues, see above				iling Fe	ee Paid SUBTOTAL (3) (\$) \$220	
CURACTED BY						

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

52,068

Telephone 626-683-7600

January 28, 2004

Date

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NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor			
Title	Big Clip Pen		
Atty Docket Number		SS-29	

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 28, 2004

Date

Mark D. Nielsen

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.